

## CHARACTERIZATIONS OF $\delta$ -STRATIFIABLE SPACES

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**Abstract.** In this paper, we give some characterizations of  $\delta$ -stratifiable spaces by means of  $g$ -functions and semi-continuous functions. It is established that:

- (1) A topological space  $X$  in which every point is a regular  $G_\delta$ -set is  $\delta$ -stratifiable if and only if there exists a  $g$ -function  $g : N \times X \rightarrow \tau$  satisfies that if  $F \in RG(X)$  and  $y \notin F$ , then there is an  $m \in N$  such that  $y \notin \overline{g(m, F)}$ ;
- (2) If there is an order preserving map  $\varphi : USC(X) \rightarrow LSC(X)$  such that for any  $h \in USC(X)$ ,  $0 \leq \varphi(h) \leq h$  and  $0 < \varphi(h)(x) < h(x)$  whenever  $h(x) > 0$ , then  $X$  is  $\delta$ -stratifiable space.

### 1. Introduction

It is one of the questions in general topology how to characterize the generalized metric spaces [2, 5]. Recently, the problem of monotone insertions of generalized metric spaces has been studied [4]. Lane, Nyikos and Pan [7] proved that a topological space  $X$  is stratifiable if and only if there is an order-preserving map  $\psi : UL(X) \rightarrow C(X)$  such that for any  $(g, h) \in UL(X)$ ,  $g \leq \psi(g, f) \leq h$  and  $g(x) < \psi((g, h))(x) < h(x)$  whenever  $g(x) < h(x)$ .

As a generalization of stratifiable spaces, Good and Haynes [3] defined  $\delta$ -stratifiable spaces. Just as for stratifiability, they discussed the products of compact metrizable spaces and  $\delta$ -stratifiable spaces. It is natural to pose the following question.

QUESTION 1.1. How to characterize  $\delta$ -stratifiable spaces by the  $g$ -functions, or by the monotone insertion functions?

In this paper, we give some characterizations of  $\delta$ -stratifiable spaces by means of  $g$ -functions and semi-continuous functions.

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All spaces in this paper are assumed to be  $T_1$ . For a topological space  $X$ ,  $\tau$  denotes the topology on  $X$ , and  $\tau^c = \{X - O : O \in \tau\}$ . We refer the reader to [1, 8] for undefined terms.

A real-valued function  $f$  defined on a space  $X$  is *lower (upper) semi-continuous* if for each  $x \in X$  and each real number  $r$  with  $f(x) > r$  ( $f(x) < r$ ), there exists a neighborhood  $U$  of  $x$  in  $X$  such that  $f(x') > r$  ( $f(x') < r$ ) for every  $x' \in U$ . We write  $LSC(X)$  ( $USC(X)$ ) for the set of all real-valued lower (upper) semi-continuous functions on  $X$  into  $I = [0, 1]$ .

Let  $X$  be a space, if  $A \subset X$ , we write  $\chi_A$  for the *characteristic function* on  $A$ . Then  $\chi_A \in USC(X)$  if  $A$  is a closed subset of  $X$ , and  $\chi_A \in LSC(X)$  if  $A$  is an open subset in  $X$ .

A  $g$ -function on a topological space  $(X, \tau)$  is a mapping  $g : N \times X \rightarrow \tau$  such that  $x \in g(n, x)$  for each  $n \in N$  [6]. We define  $g(n, F) = \bigcup \{g(n, x) : x \in F\}$  for each  $F \subset X$  and each  $n \in N$ .

We recall some basic concepts about the  $\delta$ -stratifiable spaces.

DEFINITION 1.2. [10] A subset  $G$  of a topological space  $X$  is called a regular  $G_\delta$ -set if  $G$  is an intersection of a sequence of closed sets whose interiors contain  $G$ , i.e. if  $G = \bigcap_{n \in N} F_n = \bigcap_{n \in N} F_n^\circ$ , where  $F_n$  is a closed set of  $X$ . Equivalently, there exists a sequence  $\{U_n\}$  of open sets such that  $G = \bigcap_{n \in N} U_n = \bigcap_{n \in N} \overline{U_n}$ . The complement of a regular  $G_\delta$ -set is called a regular  $F_\sigma$ -set. Clearly, a set  $M$  is a regular  $F_\sigma$ -set if and only if there exists a sequence  $\{F_n\}$  of closed sets such that  $M = \bigcup_{n \in N} F_n = \bigcup_{n \in N} F_n^\circ$ .

For a topological space  $X$ ,  $RG(X)$  denotes the set of all regular  $G_\delta$ -sets of  $X$ , and  $RF(X) = \{X - G : G \in RG(X)\}$  are the sets of all regular  $F_\sigma$ -sets of  $X$ .

DEFINITION 1.3. [3] A topological space  $X$  is  $\delta$ -stratifiable if and only if there is an operator  $U$  assigning to each  $n \in N$  and  $D \in RG(X)$ , an open set  $U(n, D)$  containing  $D$  such that

- (1) If  $E, D \in RG(X)$  and  $E \subset D$ , then  $U(n, E) \subset U(n, D)$  for each  $n \in N$ ;
- (2)  $D = \bigcap_{n \in N} \overline{U(n, D)}$ .

We may assume that the operator  $U$  is also monotonic with respect to  $n$ , so that  $U(n+1, D) \subset U(n, D)$  for each  $n \in N$  and each  $D \in RG(X)$ .

The following lemma, included for convenience, is clearly just another way of stating the definition.

LEMMA 1.4. A topological space  $X$  is  $\delta$ -stratifiable if and only if there is an operator  $V : N \times RF(X) \rightarrow \tau^c$ , such that

- (1)  $F \supset V(n, F)$  for each  $F \in RF(X)$  and all  $n \in N$ ;
- (2) If  $E, F \in RF(X)$  and  $E \subset F$ , then  $V(n, E) \subset V(n, F)$  for each  $n \in N$ ;
- (3)  $F = \bigcup_{n \in N} V^\circ(n, F)$ .

We may assume that  $V(n, F) \subset V(n+1, F)$  for each  $n \in N$  and each  $F \in RF(X)$ .

## 2. Main results and their proofs

First, we give a characterization of  $\delta$ -stratifiable spaces by the  $g$ -functions.

LEMMA 2.1. *If every point of  $X$  is a regular  $G_\delta$ -set,  $X$  is  $\delta$ -stratifiable if and only if there exists a  $g$ -function  $g : N \times X \rightarrow \tau$  satisfying that if  $F \in RG(X)$  and  $y \notin F$ , then there is an  $m \in N$  such that  $y \notin \overline{g(m, F)}$ .*

*Proof.* Let  $X$  be  $\delta$ -stratifiable and  $U$  an operator on  $X$  which satisfies conditions (1) and (2) in Definition 1.3. For each  $x \in X$ , let  $g(n, x) = U(n, \{x\})$ , then  $g : N \times X \rightarrow \tau$  is a  $g$ -function. Let  $F \in RG(X)$  and  $y \notin F$ ; we have  $y \notin F = \bigcap_{n \in N} \overline{U(n, F)}$  by condition (2) of Definition 1.3. Thus there is an  $m \in N$  such that  $y \notin \overline{U(m, F)}$ , and therefore  $y \notin \overline{g(m, F)}$ .

Conversely, suppose there exists a  $g$ -function  $g : N \times X \rightarrow \tau$  that satisfies the conditions given in the theorem. For each  $D \in RG(X)$ , let

$$U(n, D) = g(n, D) = \bigcup \{g(n, t) : t \in D\}.$$

Then  $U$  is an operation on  $X$  which satisfies the conditions (1) and (2) in Definition 1.3. In fact, it is clear for (1). For (2), if  $D \in RG(X)$  and  $D \neq \bigcap_{n \in N} \overline{U(n, D)}$ , there exists  $y \in \bigcap_{n \in N} \overline{U(n, D)} - D$ . Since  $y \notin D$ , there exists  $m \in N$  such that  $y \notin \overline{U(m, D)}$  by the condition of the theorem; this is a contradiction with  $y \in \overline{U(n, D)}$  for each  $n \in N$ . ■

Next, we characterize  $\delta$ -stratifiable spaces by semi-continuous functions.

THEOREM 2.2. *A space  $X$  is  $\delta$ -stratifiable if and only if for any partially ordered set  $(\mathbb{H}, <)$  and map  $F : N \times \mathbb{H} \rightarrow RG(X)$  such that*

- (1)  $F(n + 1, h) \subset F(n, h)$  for all  $h \in H$  and all  $n \in N$ ;
- (2) for any  $h_1, h_2 \in H$ , if  $h_1 \leq h_2$  then  $F(n, h_2) \subset F(n, h_1)$ ,

*there is a map  $G : N \times \mathbb{H} \rightarrow \tau$ , such that (1) and (2) hold for  $G$ ,  $F(n, h) \subset G(n, h)$  for all  $h \in \mathbb{H}$ ,  $n \in N$  and  $\bigcap_{n \in N} F(n, h) = \bigcap_{n \in N} \overline{G(n, h)}$  for all  $h \in \mathbb{H}$ .*

*Proof.* Let  $X$  be a  $\delta$ -stratifiable space and  $V$  an operator as in Lemma 1.4. We show that the map  $G : N \times \mathbb{H} \rightarrow \tau$  defined by

$$G(n, h) = X - V(n, X - F(n, h)),$$

satisfies the conditions of the theorem. By the properties of  $V$  and  $F$ , one can easily verify that the conditions (1) and (2) hold for  $G$ . Since  $F(n, h) \in RG(X)$  for each  $h \in \mathbb{H}$  and all  $n \in N$ , then  $X - F(n, h) \in RF(X)$ . By the condition (1) in Lemma 1.4,  $X - F(n, h) \supset V(n, X - F(n, h))$  and so  $F(n, h) \subset G(n, h)$  for each  $h \in \mathbb{H}$  and all  $n \in N$ .

So we need only to show that  $\bigcap_{n \in N} F(n, h) = \bigcap_{n \in N} \overline{G(n, h)}$  for all  $h \in \mathbb{H}$ . If  $x \notin \bigcap_{n \in N} F(n, h)$ , then  $x \notin F(m_0, h)$  for some  $m_0 \in N$ . Consequently,  $x \in V^\circ(n_0, X - F(m_0, h))$  for some  $n_0 \in N$  since  $X - F(m_0, h) = \bigcup_{n \in N} V^\circ(n, X -$

$F(m_0, h)$ ). Let  $m = \max\{n_0, m_0\}$ , then  $x \in V^\circ(n_0, X - F(m_0, h)) \subset V(m, X - F(m_0, h)) \subset V(m, X - F(m, h))$ , and  $x \in V^\circ(m, X - F(m, h))$ . But  $V(m, X - F(m, h)) \cap G(m, h) = \emptyset$ , hence  $x \notin \overline{G(m, h)}$ , so  $x \notin \bigcap_{n \in N} \overline{G(n, h)}$ , which proves the necessity.

Conversely, for each  $D \in RF(X)$ , consider the map  $F : N \times RF(X) \rightarrow RG(X)$  defined by  $F(n, D) = X - D$ . One can easily verify that  $F$  satisfies the conditions (1) and (2) above. So there is a map  $G : N \times RF(X) \rightarrow \tau$  such that the conditions (1) and (2) hold for  $G$ . Moreover,  $F(n, D) \subset G(n, D)$  for all  $n \in N$  and all  $D \in RF(X)$  and  $\bigcap_{n \in N} F(n, D) = \bigcap_{n \in N} \overline{G(n, D)}$ . Let  $V(n, D) = X - G(n, D)$ , then the map  $V : N \times RF(X) \rightarrow \tau^c$  satisfies the conditions in Lemma 1.4. In fact, it is clear that the condition (2) holds;  $V(n, D) \subset D$  because  $V(n, D)$  is a subset of  $X - G(n, D)$ , which is a closed subset of  $X - F(n, D) = D$ , the condition (1) holds. We now show that the condition (3) holds. We only need to show that  $D \subset \bigcup_{n \in N} V^\circ(n, D)$ . If  $x \notin \bigcup_{n \in N} V^\circ(n, D)$ , then  $x \notin V^\circ(n, D) = X - \overline{X - V(n, D)} = X - \overline{G(n, D)}$  for all  $n \in N$ . This implies that  $x \in \bigcap_{n \in N} \overline{G(n, D)} = \bigcap_{n \in N} F(n, D) = X - D$ , hence  $x \notin D$ . So  $X$  is  $\delta$ -stratifiable. ■

Let  $(X, <)$  and  $(Y, <')$  be a partially ordered sets. A map  $\psi : X \rightarrow Y$  is said to be *order-preserving* [1] if  $\psi(x) <' \psi(y)$  for every pair  $x, y \in X$  with  $x < y$ .

**THEOREM 2.3.** *Let  $X$  be a topological space. If there is an order preserving map  $\varphi : USC(X) \rightarrow LSC(X)$  such that for any  $h \in USC(X)$ ,  $0 \leq \varphi(h) \leq h$  and  $0 < \varphi(h)(x) < h(x)$  whenever  $h(x) > 0$ , then  $X$  is  $\delta$ -stratifiable.*

*Proof.* Suppose that there is a map  $\varphi : USC(X) \rightarrow LSC(X)$  that satisfies the conditions of the theorem. For any  $F \in RF(X)$ ,  $F = \bigcup_{n \in N} W_n = \bigcup_{n \in N} W_n^\circ$ ,  $W_n$  is a closed subset of  $X$  by Definition 1.2. Let  $h_{W_n} = \chi_{W_n}$ ; then  $h_{W_n} \in USC(X)$ . Let

$$h_F(x) = \sum_{n=1}^{\infty} \frac{1}{2^n} h_{W_n}(x);$$

then  $h_F \in USC(X)$  by Theorem 2.4 in [11] and so  $\varphi(h_F) \in LSC(X)$ . For each  $n \in N$ , let

$$V(n, F) = \{x \in X : \varphi(h_F)(x) > 1/2^n\} \text{ and } \overline{V}(n, F) = \overline{\{x \in X : \varphi(h_F)(x) > 1/2^n\}}.$$

Then the equality above defines a map  $\overline{V} : N \times RF(X) \rightarrow \tau^c$ . We shall show that the map  $V$  satisfies the conditions (1) through (3) in Lemma 1.4.

For each  $n \in N$ , if  $x \in V(n, F)$ , then  $1/2^n < \varphi(h_F)(x) \leq h_F(x)$ . So

$$h_F(x) = \sum_{k=1}^n \frac{1}{2^k} h_{W_k}(x) + \sum_{k=n+1}^{\infty} \frac{1}{2^k} h_{W_k}(x) = \sum_{n=1}^{\infty} \frac{1}{2^n} h_{W_n}(x) > 1/2^n > 0,$$

but

$$\sum_{k=n+1}^{\infty} \frac{1}{2^k} h_{W_k}(x) \leq \sum_{k=n+1}^{\infty} \frac{1}{2^k} = 1/2^n.$$

Thus  $\sum_{k=1}^n \frac{1}{2^k} h_{W_k}(x) > 0$ . Hence there is  $k \in \{1, 2, \dots, n\}$  such that  $x \in W_k \subset \bigcup_{1 \leq k \leq n} W_k$ , and  $V(n, F) \subset \bigcup_{1 \leq k \leq n} W_k$ . This implies that

$$\overline{V(n, F)} \subset \overline{\bigcup_{1 \leq k \leq n} W_k} \subset \bigcup_{1 \leq k \leq n} \overline{W_k} = \bigcup_{1 \leq k \leq n} W_k \subset F$$

for each  $n \in N$  and so

$$\bigcup_{n \in N} V(n, F) \subset \bigcup_{n \in N} \overline{V(n, F)} \subset F.$$

We show that reverse inclusion. If  $x \notin \bigcup_{n \in N} V(n, F)$ , then

$$x \in \bigcap_{n \in N} \{t \in X : \varphi(h_F)(t) \leq 1/2^n\} = \{t \in X : \varphi(h_F)(t) = 0\},$$

thus  $\varphi(h_F)(x) = 0$ . We have  $h_F(x) = 0$  by the property of the map  $\varphi$ . Hence  $x \notin F$ , and this implies that  $F \subset \bigcup_{n \in N} V(n, F)$ . So  $F = \bigcup_{n \in N} V(n, F) = \bigcup_{n \in N} \overline{V(n, F)}$ .

If  $E, F \in RG(X)$ , and  $E \subset F$ , then  $h_E \leq h_F$ , and  $\varphi(h_E) \leq \varphi(h_F)$ . Hence  $V(n, E) \subset V(n, F)$  for all  $n \in N$ .

By Lemma 1.4,  $X$  is  $\delta$ -stratifiable. ■

In the same manner as in Theorem 2.3, we can prove the following corollary.

**COROLLARY 2.4.** *Let  $X$  be a topological space. If for each  $F \in RF(X)$ , there is an  $f_F \in LSC(X)$  that satisfies the following conditions:*

- (1)  $X - F = f_F^{-1}(0)$  and
- (2)  $f_U \leq f_V$  whenever  $U \subset V$ ,

*then  $X$  is  $\delta$ -stratifiable.*

For Theorem 2.3, we have a following question.

**QUESTION 2.5.** Is there an order preserving map  $\varphi : USC(X) \rightarrow LSC(X)$  such that for any  $h \in USC(X)$ ,  $0 \leq \varphi(h) \leq h$  and  $0 < \varphi(h)(x) < h(x)$  whenever  $h(x) > 0$ , if  $X$  is  $\delta$ -stratifiable?

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